ROLLOVER OF FUNDS

Plan Name Accepting Rollover Funds:____

Participant: ____

Telephone Number: _________________________ Email Address:________________________

I have elected to transfer assets into the above retirement plan.

This transfer is a: ☐ Direct transfer from my last qualified plan ☐ Traditional Conduit IRA

The check needs to be made payable to the Custodian of the plan's funds as follows:

Fidelity Investments FBO: ____
(Plan name funds being rolled over to)

12466 Los Indios Trail, Ste 200
Austin, Texas 78729

Please send this form with one of the following:

1. Plan Opinion / Determination Letter if the rollover is coming from an ERISA Qualified Plan

____________________________________ ____________________________________
Name of Representative from Previous Plan   Phone # or Email

2. Confirmation from the custodian of the conduit IRA that the account is only pre-tax dollars.

____________________________________ ____________________________________
Name of Representative from IRA   Phone # or Email

NOTE – Some plans may allow "Roth Rollovers" from your previous employer. Please contact our office prior to the transfer request.

By signing below, I understand the information requested above must be provided. If incomplete or not in good order, the forms and the accompany check will be returned and may jeopardize the ability to roll over the distribution.

____ ______________________________
Participant Signature   Date

The plan accepts the rollover of funds as outlined above.

____ ______________________________
Plan Representative   Date